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PTO/SB/21 (02-04) (AW 02/2004)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 16 + 1 Ref.

Application Number	10/692,886
Filing Date	October 24, 2003
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	
Attorney Docket No.	BSI-210US7

ENCLOSURES (Check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached

<input checked="" type="checkbox"/> Fourth Preliminary Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/Declaration(s)

<input type="checkbox"/> Extension of Time Request

<input type="checkbox"/> Express Abandonment Request

<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Response to Missing Parts/
Incomplete Application

<input type="checkbox"/> Response to Missing Parts under
37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)

<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition

<input type="checkbox"/> Petition to Convert to a
Provisional Application

<input type="checkbox"/> Power of Attorney, Revocation,
Change of Correspondence
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<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Request for Refund

<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication
to Group

<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply
Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter

<input checked="" type="checkbox"/> Other Enclosure(s) (please
identify below): Copy of U.S.
Publication US2003/0195614A1;
Form-2038 Credit Card Payment
Form; Post Card |
|--|--|--|

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Joshua L. Cohen	Registration No. (Attorney/Agent)	38,040
Signature			
Date	October 15, 2004		

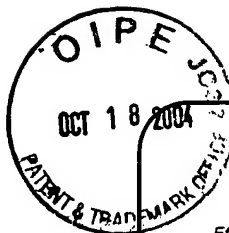
CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or printed name	Joshua L. Cohen		
Signature		Date	October 15, 2004

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 496.00

Complete if Known

Application Number	10/692,886
Filing Date	October 24, 2003
First Named Inventor	George Goicoechea
Examiner Name	
Art Unit	3731
Attorney Docket No.	BSI-210US7

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account (use as backup only):

Deposit Account Number: 18-0350

Deposit Account Name: RatnerPrestia

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE						
Large Entity	Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid
1001	790	2001	395	Utility filing fee		
1002	350	2002	175	Design filing fee		
1003	550	2003	275	Plant filing fee		
1004	790	2004	395	Reissue filing fee		
1005	160	2005	80	Provisional filing fee		
SUBTOTAL (1)						(\$ 0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						
Total Claims		Extra Claims	Fee from below		Fee Paid	
39	-31**	8	18		144	
Independent Claims						
11	-7**	4	88		352	
Multiple Dependent						
					0	
Large Entity		Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		
1202	18	2202	9	Claims in excess of 20		
1201	88	2201	44	Independent claims in excess of 3		
1203	300	2203	150	Multiple dependent claim, if not paid		
1204	88	2204	44	** Reissue independent claims over original patent		
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)						(\$ 496)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES						
Large Entity	Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath		
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
1053	130	1053	130	Non-English specification		
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
1251	110	2251	55	Extension for reply within first month		
1252	430	2252	215	Extension for reply within second month		
1253	980	2253	490	Extension for reply within third month		
1254	1,530	2254	765	Extension for reply within fourth month		
1255	2,080	2255	1,040	Extension for reply within fifth month		
1401	340	2401	170	Notice of Appeal		
1402	340	2402	170	Filing a brief in support of an appeal		
1403	300	2403	150	Request for oral hearing		
1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1452	110	2452	55	Petition to revive - unavoidable		
1453	1,370	2453	685	Petition to revive - unintentional		
1501	1,370	2501	685	Utility issue fee (or reissue)		
1502	490	2502	245	Design issue fee		
1503	660	2503	330	Plant issue fee		
1460	130	1460	130	Petitions to the Commissioner		
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
1806	180	1806	180	Submission of Information Disclosure Stmt		
8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))		
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))		
1801	790	2801	395	Request for Continued Examination (RCE)		
1802	900	1802	900	Request for expedited examination of a design application		
Other fee (specify)						
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)		(\$ 0)

SUBMITTED BY

Name (Print/Type)	Joshua L. Cohen	Registration No. Attorney/Agent		Telephone	610-407-0700
Signature				Date	October 15, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/692,886
 Applicant: George Goicoechea et al.
 Filed: October 24, 2003
 Title: BIFURCATED ENDOLUMINAL PROSTHESIS
 TC/A.U.: 3731
 Examiner: Not Yet Assigned
 Confirmation No.: 1904
 Docket No.: BSI-210US7

FOURTH PRELIMINARY AMENDMENT

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified application as follows:

- ☐ **Amendments to the Specification** begin on page _____ of this paper.
- ☒ **Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.
- ☐ **Amendments to the Drawings** begin on page _____ of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page _____ of this paper. A clean version of the Abstract is on page _____ of this paper.
- ☒ **Remarks/Arguments** begin on page 12 of this paper.

10/19/2004 YPOLITE1 00000033 10692886

01 FC:1202	144.00 OP
02 FC:1201	352.00 OP